UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/067,498	02/04/2002	Brad Freeman	ASTRX-010B

007663
STETINA BRUNDA GARRED & BRUCKER
75 ENTERPRISE, SUITE 250
ALISO VIEJO, CA 92656

CONFIRMATION NO. 8369
FORMALITIES LETTER

OC000000007562564

Date Mailed: 03/01/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of $65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is $ 65.**

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/11/2002 YPOLITE1 00000100 10067498
01 FC:205   65.00 OP

PTO/SB/21 (6-98)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/067,498
	Filing Date	February 4, 2002
	First Named Inventor	James Castillo
	Group Art Unit	
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	ASTRX-010B

ENCLOSURES (check all that apply)

☑ Fee Transmittal Form
  ☐ Fee Attached
  ☒ Charge Any Additional Fee Required, to Deposit Account No. 19-4330
☐ Amendment/Response
  ☐ After Final
  ☐ Affidavits/declaration(s)
☐ Extension of Time Request
☐ Express Abandonment Request
☐ Information Disclosure Statement
☐ Certified Copy of Priority Document(s)
☑ Response to Missing Parts/Incomplete Application
  ☑ Response to Missing Parts under 37 CFR 1.52 or 1.53

☑ Assignment Papers (for an Application)
☐ Drawing(s)
☐ Licensing-related Papers
☐ Petition Routing Slip (PTO/SB/69 and Accompanying Petition
☐ Petition to Convert to a Provisional Application
☐ Power of Attorney, Revocation, Change of Correspondence Address
☐ Terminal Disclaimer
☐ Small Entity Statement
☐ Request for Refund

☐ After Allowance Communication to Group
☐ Appeal Communication to Board of Appeals and Interferences
☐ Appeal Communication to Group (*Appeal Notice, Brief, Reply Brief*)
☐ Proprietary Information
☐ Status Letter
☑ Additional Enclosure(s) (*please identify below*): Certificate under 37 CFR 3.73 (b); Power of Attorney

REMARKS:

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	Stetina Brunda Garred & Brucker (Kit M. Stetina)
Signature	
Date	March 27, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: March 27, 2002

Typed or printed name	Colleen Tyler		
Signature		Date	March 27, 2002

† SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231
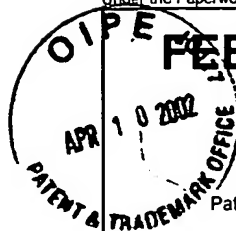
FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known	
Application Number	10/067,498
Filing Date	February 4, 2002
First Named Inventor	James Castillo
Examiner Name	
Group Art Unit	
Attorney Docket No.	ASTRX-010B

TOTAL AMOUNT OF PAYMENT	($)105.00

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **19-4330**

Deposit Account Name **Stetina Brunda**

✔ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
✔ Applicant claims small entity status. See 37 CFR 1.27

2. ✔ **Payment Enclosed:**

✔ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. **BASIC FILING FEE**

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description	Fee Paid
101	740	201	370	Utility Filing fee	
106	330	206	165	Design Filing fee	
107	510	207	255	Plant Filing fee	
108	740	208	370	Reissue Filing fee	
114	160	214	85	Provisional Filing fee	
				SUBTOTAL (1)	$ 0

2. **EXTRA CLAIM FEES**

	Extra Claims	Fee from below	Fee Paid
Total Claims ___ - 20** = ___	X ___ =		
Independent Claims ___ - 3 = ___	X ___ =		
Multiple Dependent	=		

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	
109	80	209	40	
110	18	210	9	
			SUBTOTAL (2)	$ 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. **ADDITIONAL FEES**

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge -late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifty month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive-unavoidable	
141	1,240	241	620	Petition to revive-unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) ___

* Reduced by Basic Filing Fee Paid   **SUBTOTAL (3)** $ 105.00

SUBMITTED BY:

Name (Print/Type)	Kit M. Stetina	Registration No. (Attorney/Agent)	29,445	Telephone	(949) 855-1246
Signature				Date	March 27, 2002

Complete (if applicable)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231

ATTORNEY DOCKET NO: ASTRX-010B
TITLE: JOINT BRACE WITH MULTI-PLANAR PIVOTING ASSEMBLY AND INFINITELY ADJUSTABLE
LIMB EXTENSION REGULATOR

Certificate of Mailing under 37 CFR 1.8 or 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the
United States Postal Service with sufficient postage as first class mail in an
envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

☐   I hereby certify that this correspondence is being deposited with the
United States Postal Service "Express Mail Post Office to Addressee" service
under 37 CFR 1.10, Express Mail No. _____ addressed to:

on March 27, 2002
(Date)

_____
Signature

Colleen Tyler
_____
Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate
must identify each submitted paper.

1.   Transmittal;
2.   Fee Transmittal, in duplicate;
3.   Check for $65.00;
4.   Notice to File Missing Parts of Nonprovisional application;
5.   Declaration of Inventor (2 pages);
6.   Certificate under 37 CFR 3.73(b);
7.   Power of Attorney;
8.   Check for $40.00;
9.   Recordation Form Cover Sheet;
10.   Assignment;
11.   Return postcard.